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Form ADV Part 2B Firm Brochure Supplement September 18, 2024

This Brochure Supplement provides information for Tomek Siwiec that supplements the New Wave Wealth Advisors LLC brochure. You should have received a copy of that brochure. Please contact us at (479) 877-2525 or hannah.stanley@newwavewealth advisors.com if you did not receive NWWA's Brochure or if you have any questions about the contents of this supplement.

Additional information about Tomek Siwiec is also available on the SEC's website at www.adviserinfo.sec.gov.

#### Tomek Siwiec CRD# 4875171 New Wave Wealth Advisors LLC IARD# 323414 4700 S Thompson St, Ste C-106 Springdale, AR, 72764 (479) 877-2525 tomek.siwiec@newwavewealthadvisors.com www.newwavewealthadvisors.com

## **ITEM 2** Educational Background and Business Experience

Tomek Siwiec, born in 1974, graduated from the Academy of Economics in May 1998 with a Master of Finance and Banking. Tomek Siwiec's employment information is listed below.

Client Advisor – Vice President New Wave Wealth Advisors	October 2019 - Present
Investment Advisor Representative Sowell Management	September 2019 - October 2022
Senior Client Advisor Arvest Wealth Management	April 2012 - September 2019
Vice President Arvest Bank	February 2000 - April 2012

#### ITEM 3

## **Disciplinary Information**

Tomek Siwiec has not been and/or is presently not involved in any disciplinary, legal, or regulatory events that would be material to a client's evaluation of him or of NWWA.

#### **ITEM 4** Other Business Activities

Tomek Siwiec is also an insurance agent licensed to sell insurance products. A conflict of interest exists because these services pay a commission, which conflicts with the IAR's fiduciary duties. NWWA does not require its IARs to encourage clients to implement investment advice through our insurance product recommendations. Clients have the right to implement insurance product recommendations through the insurance agency and agent of their choice. We require that all IARs disclose this conflict of interest when such recommendations are made. We also require IARs to disclose that the client has the right to purchase recommended products from individuals not affiliated with us.

Tomek Siwiec owns Touchstone Financial, LLC (100%) since 10/2019 – it is a holding company for him to receive compensation from New Wave Wealth Advisors, LLC. No other compensation, 4 hours per month, 0 hours during trading hours.

## **ITEM 5** Additional Compensation

Tomek Siwiec does not receive any additional compensation.

## ITEM 6 Supervision

Hannah Stanley, Owner and Chief Compliance Officer of NWWA, is responsible for supervising the investment advisory activities of the investment adviser representatives. Hannah Stanley monitors and reviews all forms of written communications that the investment adviser representatives provide to clients. Hannah Stanley can be contacted via telephone at (479) 877-2525 and via email at hannah.stanley@newwavewealthadvisors.com.

# **ITEM 7** Requirements for State-Registered Advisers

A. Tomek Siwiec has not been involved in an award or found liable in any arbitration claim or in any civil, self-regulatory organization, or administrative proceedings.

B. Tomek Siwiec has not been the subject of a bankruptcy petition.